

HA

03 C9 \$  
H&PPlease type a plus sign (+) inside this box → 

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |  |                        |                     |
|--|--|------------------------|---------------------|
|  |  | Application Number     | 09/825,155          |
|  |  | Filing Date            | April 3, 2001       |
|  |  | First Named Inventor   | Ariel Ruiz i Altaba |
|  |  | Group Art Unit         | unknown             |
|  |  | Examiner Name          | unknown             |
| Total Number of Pages in This Submission |  | Attorney Docket Number | 1049-1-008 N CON    |

### ENCLOSURES (check all that apply)

|  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                                   | <input type="checkbox"/> Assignment Papers<br>(for an Application)                            | <input type="checkbox"/> After Allowance Communication<br>to Group  |
| <input checked="" type="checkbox"/> Fee Attached   | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences  |
| <input type="checkbox"/> Amendment / Reply   | <input type="checkbox"/> Licensing-related Papers   | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)   |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)   | <input type="checkbox"/> Petition to Convert to a<br>Provisional Application                  | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request   | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence<br>Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please<br>identify below):<br><br>copy of Declaration and Power<br>of Attorney, copy of Notice to<br>File Missing Parts; return<br>postcard |
| <input type="checkbox"/> Express Abandonment Request                                       | <input type="checkbox"/> Terminal Disclaimer  |   |
| <input type="checkbox"/> Information Disclosure Statement                                  | <input type="checkbox"/> Request for Refund   |   |
| <input type="checkbox"/> Certified Copy of Priority<br>Document(s)                         | <input type="checkbox"/> CD, Number of CD(s) _____  |   |
| <input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application              |   |   |
| <input checked="" type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | Remarks   |   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                               |                                   |
|-------------------------------|-----------------------------------|
| Firm<br>or<br>Individual name | Michael A. Yamin, Reg. No. 44,414 |
| Signature                     |                                   |
| Date                          | July 16, 2001                     |

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

|                       |                |      |               |
|-----------------------|----------------|------|---------------|
| Typed or printed name | Sandy Santiago |      |               |
| Signature             |                | Date | July 16, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$580.00)

**Complete if Known**

|                      |                     |
|----------------------|---------------------|
| Application Number   | 09/825,155          |
| Filing Date          | April 3, 2001       |
| First Named Inventor | Ariel Ruiz i Altaba |
| Examiner Name        | unknown             |
| Group Art Unit       | unknown             |
| Attorney Docket No.  | 1049-1-008 N CON    |

**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge indicated fee and credit any overpayments to:

Deposit Account Number **11-1153**  
 Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity<br>Fee Code (\$)     | Small Entity<br>Fee Code (\$) | Fee<br>(\$) | Fee Description   | Fee Paid                       |
|-----------------------------------|-------------------------------|-------------|---|--------------------------------|
| 105                               | 130                           | 205         | 65 Surcharge - late filing fee or oath  | <b>65.00</b>                   |
| 127                               | 50                            | 227         | 25 Surcharge - late provisional filing fee or cover sheet                     |                                |
| 139                               | 130                           | 139         | 130 Non-English specification   |                                |
| 147                               | 2,520                         | 147         | 2,520 For filing a request for ex parte reexamination                         |                                |
| 112                               | 920*                          | 112         | 920* Requesting publication of SIR prior to Examiner action                   |                                |
| 113                               | 1,840*                        | 113         | 1,840* Requesting publication of SIR after Examiner action                    |                                |
| 115                               | 110                           | 215         | 55 Extension for reply within first month                                     |                                |
| 116                               | 390                           | 216         | 195 Extension for reply within second month                                   |                                |
| 117                               | 890                           | 217         | 445 Extension for reply within third month                                    |                                |
| 118                               | 1,390                         | 218         | 695 Extension for reply within fourth month                                   |                                |
| 128                               | 1,890                         | 228         | 945 Extension for reply within fifth month                                    |                                |
| 119                               | 310                           | 219         | 155 Notice of Appeal  |                                |
| 120                               | 310                           | 220         | 155 Filing a brief in support of an appeal                                    |                                |
| 121                               | 270                           | 221         | 135 Request for oral hearing  |                                |
| 138                               | 1,510                         | 138         | 1,510 Petition to institute a public use proceeding                           |                                |
| 140                               | 110                           | 240         | 55 Petition to revive - unavoidable   |                                |
| 141                               | 1,240                         | 241         | 620 Petition to revive - unintentional  |                                |
| 142                               | 1,240                         | 242         | 620 Utility issue fee (or reissue)  |                                |
| 143                               | 440                           | 243         | 220 Design issue fee  |                                |
| 144                               | 600                           | 244         | 300 Plant issue fee   |                                |
| 122                               | 130                           | 122         | 130 Petitions to the Commissioner   |                                |
| 123                               | 50                            | 123         | 50 Processing fee under 37 CFR 1.17(q)  |                                |
| 126                               | 180                           | 126         | 180 Submission of Information Disclosure Stmt                                 |                                |
| 581                               | 40                            | 581         | 40 Recording each patent assignment per property (times number of properties) |                                |
| 146                               | 710                           | 246         | 355 Filing a submission after final rejection (37 CFR § 1.129(a))             |                                |
| 149                               | 710                           | 249         | 355 For each additional invention to be examined (37 CFR § 1.129(b))          |                                |
| 179                               | 710                           | 279         | 355 Request for Continued Examination (RCE)                                   |                                |
| 169                               | 900                           | 169         | 900 Request for expedited examination of a design application                 |                                |
| Other fee (specify) _____         |                               |             |   |                                |
| *Reduced by Basic Filing Fee Paid |                               |             |   | <b>SUBTOTAL (3) (\$ 65.00)</b> |

\*\*or number previously paid, if greater; For Reissues, see above

SUBTOTAL (3) (\$ 65.00)

**SUBMITTED BY**

Complete (if applicable)

|                   |                         |                                      |        |           |               |
|-------------------|-------------------------|--------------------------------------|--------|-----------|---------------|
| Name (Print/Type) | Michael A. Yamin        | Registration No.<br>(Attorney/Agent) | 44,414 | Telephone | 201-487-5800  |
| Signature         | <i>Michael A. Yamin</i> |                                      |        | Date      | July 16, 2001 |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/825,155         | 04/03/2001          | Ariel Ruiz i Altaba   | 1049-1-008N CON        |

CONFIRMATION NO. 2618

23565  
KLAUBER & JACKSON  
411 HACKENSACK AVENUE  
HACKENSACK, NJ 07601

## FORMALITIES LETTER



\*OC000000006082839\*

Date Mailed: 05/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/23/2001 BNGUYEN1 00000043 09825155

## FILED UNDER 37 CFR 1.53(b)

|           |           |
|-----------|-----------|
| 01 FC:201 | 355.00 OP |
| 02 FC:202 | 160.00 OP |
| 03 FC:205 | 65.00 OP  |

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

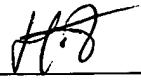
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$320.
  - \$320 for 4 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1160.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*



---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE